

ATTORNEY'S DOCKET NO. 30621-DIV5-CIP1

As a below named inventor I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

| subject matter which is o | claimed and for which a p | patent is sought on the in | nvention entitled: | |
|--|---|---------------------------------|----------------------------|--|
| ANIONI | C POLYMERS COMPO | SED OF DICARBOXYLI | C ACIDS AND USES TH | HEREOF |
| the specification of whicl | n: (complete (a), (b) or (| c) for type of application |) | |
| | REGUL | AR OR DESIGN APPLI | CATION | |
| (a) [] is attached herei (b) [X] was filed on <u> </u> | | ication Serial No. <u>10/70</u> | 08,614 and was amen | ded on |
| | PCT FILED APPL | ICATION ENTERING N | ATIONAL PHASE | |
| (c) uas described an (i | | al Application No | filed | and as amended |
| AC | KNOWLEDGMENT OF | REVIEW OF PAPERS | AND DUTY OF CAND | OR |
| | at I have reviewed and u any amendment referred | | of the above identified sp | pecification, including the |
| | ne duty to disclose to the ral Regulations, § 1.56(| | wn to me to be material t | o patentability as defined |
| [] In compliand | e with this duty there is | attached an information | disclosure statement. 3 | 7 CFR 1.97. |
| | | PRIORITY CLAIM | | |
| patent or inventor's certi certificate having a filing | ficate listed below and hate before that of the a | | w any foreign application | foreign application(s) for n for patent or inventor's |
| (d) [X] no such applica (e) [] such applications | ations have been filed. s have been filed as follo | ows | | |
| EARLIEST FOREIG | N APPLICATION(S), IF | ANY FILED WITHIN 12 | MONTHS PRIOR TO S | AID APPLICATION |
| Country | Application No. | Date of Filing | Date of Issue | Priority Claimed |
| | | | | ☐ YES ☐ NO |
| | | | | ☐YES ☐ NO |
| | | | | □YES □ NO |
| ALL FOREIGN AP | PLICATION(S), IF ANY | FILED MORE THAN 12 | MONTHS PRIOR TO SA | AID APPLICATION |
| | | | | |
| | | | | |

PROVISIONAL

| I hereby claim the below: | e benefit under Title 35, United Sta | ates Code, § 119(e) of any United States application(s) listed |
|--|---|---|
| Application Serial No. | Filing Date | Status (patented, pending, abandoned) |
| | CONTINUAT | ION-IN-PART |
| | (Complete This Part Only If This Is | A Continuation-In-Part Application) |
| and, insofar as the subject application in the manner disclose to the Office all Regulations, § 1.56(a), where the subject is the subject and the subject and the subject is the subject is the subject in the subj | ect matter of each of the claims of provided by the first paragraph of information known to me to be ma | es Code, § 120 of any United States application(s) listed below of this application is not disclosed in the prior United States Fitle 35, United States Code, § 112, I acknowledge the duty to terial to patentability as defined in Title 37, Code of Federal ne filing date of the prior application and the national or PCT in |
| Application Serial No. | Filing Date | Status (patented, pending, abandoned) |
| Application Serial No. | Filing Date | Status (patented, pending, abandoned) |
| Full name of sole or firs | t inventor JOHN LARRY SAN | DERS |
| Date 7/18/ | 54 | Country of Citizenship United States |
| Residence | 13101 Canterbury, Leawood, Ka | unsas 66209 |
| Post Office Address | 13101 Canterbury, Leawood, K | ansas 66209 |
| Full name of second joir | nt inventor, if any GRIGORY | MAZO |
| Inventor's Signature | Frigory MAZO | |
| Date 7/12 | 164 | Country of Citizenship United States |
| Residence | 1327 Central Avenue, Wilmette, | Illinois 60091-2512 |
| Post Office Address | 1327 Central Avenue, Wilmette | , Illinois 60091-2512 |
| Full name of third joint in | nventor, if any JACOB MA | AZO |
| Inventor's Signature | Jord Mago | |
| Date | 7/13/04 | Country of Citizenship United States |
| Residence | 1327 Central Avenue, Wilmett | e, Illinois 60091-2512 |

1327 Central Avenue, Wilmette, Illinois 60091-2512

X

Post Office Address

| OIP | E voje | • |
|---------|---|---------------------------------------|
| Inris | Applicant of Lateritos Chitalinis, Colinia in the | Attorney's Docket No. 30621-DIV5-CIP1 |
| EMENT & | Sanal or Patent No: 10/708,614 | |
| | File or Issued: March 15, 2004 | |
| | For: ANIONIC POLYMERS COMPOSED OF DICARBOXYL | IC ACIDS AND USES THEREOF |

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled ANIONIC POLYMERS COMPOSED OF DICARBOXYLIC ACIDS AND USES THEREOF described in

| AOIDO | A140 00 | 7. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. |
|---------------|---------------------|--|
| | [] [X] [] | the specification filed herewith application serial no10/708,614, filed March 15, 2004 patent no issued |
| grant, d | convey of under 3 | gned, granted, conveyed or licensed and am under no obligation under contract or law to assign, r license, any rights in the invention to any person who could not be classified as an independent 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as s concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). |
| Each pobligat | erson, co | oncern or organization to which I have assigned, granted, conveyed, or licensed or am under an rontract or law to assign, grant, convey, or license any rights in the invention is listed below: |
| | [X] [] | no such person, concern or organization persons, concerns or organizations listed below* |
| Name | | |
| Addres | ss | |
| | [] INI | DIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION |

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

| Name of Inventor | Name of Inventor |
|-----------------------|-----------------------|
| | |
| Signature of Inventor | Signature of Inventor |
| Date | Date |
| | Signature of Inventor |

| Applicant or Patentee: SANDERS, JOHN L. et al. | Attorney's Docket No.: 30621-DIV5-CIP1 | |
|---|--|--|
| Serial or Patent No.: 10/708,614 | Filed or Issued: March 15, 2004 | |
| For: ANIONIC POLYMERS COMPOSED OF DICARBOXYLIC ACIDS AND USES THEREOF | | |

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS

| (37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN |
|--|
| I hereby declare that I am [] the owner of the small business concern identified below: [X] an official of the small business concern empowered to act on behalf of the concern identified below |
| NAME OF CONCERN SPECIALTY FERTILIZER PRODUCTS, LLC |
| ADDRESS OF CONCERN 134 Cherry Hill Drive, Belton, Missouri 64012 |
| I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control both. |
| I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled ANIONIC POLYMERS COMPOSED OF DICARBOXYLIC ACIDS AND USES THEREOF by inventor(s) JOHN LARRY SANDERS, GRIGORY MAZO, JACOB MAZO described in |
| [] the specification filed herewith. [X] application serial no. <u>10/708,614</u> , filed <u>March 15, 2004</u> . [] patent no, issued |
| If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). |
| Name |
| Address |
| [] Individual [] Small Business Concern [] Nonprofit Organization |
| Name |
| Address |
| [] Individual [] Small Business Concern [] Nonprofit Organization |
| *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27) |
| I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)). |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed. |
| NAME OF PERSON SIGNING John Hardy |
| TITLE OF PERSON OTHER THAN OWNER Vice-President |
| ADDRESS OF PERSON SIGNING , 134 Cherry Hill Drive, Belton, Missouri 64012 |
| SIGNATURE John Mudy DATE 7/15/84 |